ET NO.: 19603/1656 EXPRESS MAIL NO.: EL640135926US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM (only for new nonprovisional applications under 37 CFR 1.53(b)

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

BOX: PATENT APPLICATION

Listing (if applicable) and Abstract, 23 pages) of:

SIR: Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Inventor(s): Scott S. Campbell and Patricia J. Murphy : NON-OCULAR CIRCADIAN CLOCK RESETTING IN HUMANS For **If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment: [] continuation [X] divisional [] Continuation-In-Part (CIP) of prior application Serial No.: 09/074,455 Prior application information: Examiner: Kelly O'Hara Art Unit : 3738 Enclosed are: [X] 8 sheets of informal drawings as filed in the prior application. 7 sheets of formal drawings as filed in the prior application. **Signed** Combined Declaration and Power of Attorney (pages). [X] Copy of signed Combined Declaration and Power of Attorney (2 pages) from a prior application (1.63(d) (for continuation/divisional). [X] Supplemental Combined Declaration and Power of Attorney (2 pages) from a prior application (1.63(d) (for continuation/divisional). **Signed** statement deleting inventor(s) named in prior application (pages) (1.63(d)(2) and 1.33(b)). []**Incorporation By Reference**: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein. [X] Assignment (1 page) of the invention to Cornell Research Foundation, Inc. as filed in the prior application.

[X] Assignment Transmittal Letter as filed in the prior application.

Certified copy of a foreign priority document.

| | | | - | 2 - | | | | | | |
|--|---|-----------|-----------|-----|---------|--------|-----------|--------|--------|--|
| [] | Associate power of attorney. | | | | | | | | | |
| [X] | Verified statement to establish small entity status (2 pages) (newly signed or copy filed in prio application). | | | | | | | | | |
| [X] | Preliminary Amendment (2 pages). | | | | | | | | | |
| [X] | X] Information Disclosure Statement, form PTO-1449 (5 pages) and 0 references. | | | | | | | | | |
| [] <u>UNSIGNED</u> Combined Declaration and Power of Attorney (pages). | | | | | | | | | | |
| [] | Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette. | | | | | | | | | |
| [X] A self-addressed, prepaid postcard acknowledging receipt. | | | | | | | | | | |
| The Filing fee has been calculated as shown below: | | | | | | | | | | |
| | | (Col. 1) | (Cot. 2) | | SMALL I | ENTITY | | LARGE | ENTITY | |
| FOR: | | NO. FILED | NO. EXTRA | | RATE | FEE | OR | RATE | FEE | |
| BASIC FEE | | XXXXXXX | xxxxxxx | | xxxx | \$345 | <u>OR</u> | xxxx | \$690 | |
| TOTAL CLAIMS | | 13 - 20 = | 0 | | x 9 = | \$ 0 | <u>OR</u> | x 18 = | \$ | |

*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

[] MULTIPLE DEPENDENT CLAIM PRESENTED

INDEP CLAIMS

| RATE | FEE | <u>, C</u> |
|--------|-------|------------|
| XXXX | \$345 | <u>0</u> |
| x 9 = | \$ 0 | <u>o</u> |
| x 39= | \$ 0 | 0 |
| x130 = | \$ 0 | o |

\$345

TOTAL

X78 =<u>P</u> OR \$ x260 =OR **TOTAL** \$

Please charge my Deposit Account No._____ in the amount of \$ copy of this sheet is enclosed.

- A check in the amount of \$345.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.
- [X] Address all future communications to:

Gunnar G. Leinberg, Esq. NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603

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DOCKET NO.

19603/1656

APPLICANT(S) :

Scott S. Campbell and Patricia J. Murphy

TITLE

NON-OCULAR CIRCADIAN CLOCK RESETTING IN

HUMANS

Certificate is attached to the **Divisional Utility Patent Application Transmittal Letter (2 pages) in duplicate** of the above-named application.

"EXPRESS MAIL" NUMBER:

EL640135926US

DATE OF DEPOSIT:

September 6, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 **Box Patent Application**.

Jo Ann Whalen

(Typed or printed name of person mailing paper or fee)

DOCKET NO. : 19603/1656

APPLICANT(S) : Scott S. Campbell and Patricia J. Murphy

TITLE : NON-OCULAR CIRCADIAN CLOCK RESETTING IN

HUMANS

Certificate is attached to the Copy of the Utility Patent Application which included Specification, Claims, and Abstract (23 pages) and Signed Combined Declaration and Power of Attorney (2 pages) as filed in the prior application of the above-named application.

"EXPRESS MAIL" NUMBER: EL640135926US

DATE OF DEPOSIT: September 6, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 **Box Patent Application**.

Jo Ann Whalen

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DOCKET NO.

19603/1656

APPLICANT(S) :

Scott S. Campbell and Patricia J. Murphy

TITLE

NON-OCULAR CIRCADIAN CLOCK RESETTING IN

HUMANS

Certificate is attached to the Copies of the Informal Drawings (8 pages) as filed in the prior application of the above-named application.

"EXPRESS MAIL" NUMBER:

EL640135926US

DATE OF DEPOSIT:

September 6, 2000

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Jo Ann Whalen

(Typed or printed name of person mailing paper or fee)

10813 U.S. PT021 09/656409 09/06/00

EXPRESS MAIL CERTIFICATE

DOCKET NO.

19603/1656

APPLICANT(S) :

Scott S. Campbell and Patricia J. Murphy

TITLE

NON-OCULAR CIRCADIAN CLOCK RESETTING IN

HUMANS

Certificate is attached to the **Information Disclosure Statement (1 page)** and **PTO-1449 Form (4 pages)** of the above-named application.

"EXPRESS MAIL" NUMBER:

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DATE OF DEPOSIT:

September 6, 2000

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Jo Ann Whalen

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DOCKET NO.

19603/1656

APPLICANT(S):

Scott S. Campbell and Patricia J. Murphy

TITLE

NON-OCULAR CIRCADIAN CLOCK RESETTING IN

HUMANS

Certificate is attached to the Preliminary Amendment (2 pages) of the above-named application.

"EXPRESS MAIL" NUMBER: EL640135926US

DATE OF DEPOSIT:

September 6, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 Box Patent Application.

Jo Ann Whalen

(Typed or printed name of person mailing paper or fee)

TITLE:

NON-OCULAR CIRCADIAN CLOCK RESETTING IN

HUMANS

APPLICANTS:

SCOTT S. CAMPBELL AND PATRICIA J. MURPHY

DOCKET NO:

19603/1652 (CRF D-2093B)